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PTO/SB/21 (08-00)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/993,906
Filing Date	November 27, 2001
First Named Inventor	Jax B. Cowden
Group Art Unit	2152
Examiner Name	not yet known
Attorney Docket Number	10005.000120

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Total Number of Pages in This Submission

8 \*\*

### ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☒ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/ Incomplete Application

☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

☐ Assignment Papers (for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a Provisional Application

☐ Power of Attorney, Revocation Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) \_\_\_\_\_

☐ After Allowance Communication to Group

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please identify below):

Form PTO/SB/08;  
Copies of listed references  
( 37 documents, A-Z, AA-KK);  
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Patrick D. Benedicto, Reg. No. 40,909  
DEGUZMAN OKAMOTO & BENEDICTO, LLP

Signature

Patrick Benedicto

Date

January 18, 2002

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Patrick Benedicto

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Jax B. Cowden, et al.

Serial No. 09/993,906

Filed: November 27, 2001

Title: Method and Apparatus for  
Distributing a Computer Program

Examiner: not yet known

Art Unit: 2152

Atty. Dkt. No.: 10005.000120

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Commissioner of Patents  
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**INFORMATION DISCLOSURE STATEMENT**

**Under 37 C.F.R. §1.56 and §§1.97-98**

Sir:

Pursuant to the provisions of 37 CFR 1.56 and §§1.97-98, enclosed herewith is form PTO/SB/08 (substitute for form 1449A/PTO) listing references which may be material to the examination of this application, and with respect to which there may be a duty to disclose. The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

A copy of each listed reference is enclosed herewith, unless no such copy is required pursuant to 37 CFR § 1.98(d).

- ☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application Serial No. [SERIAL NUMBER], filed on [FILING DATE], and the references cited therein are hereby listed but are not required to be provided in this application under 37 CFR § 1.98(d).

Pursuant to 37 CFR §1.97, this Information Disclosure Statement is being submitted under the section indicated below.

- ☒ 37 CFR §1.97(b).
- ☐ 37 CFR §1.97(c). If so, then either:
- ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that either:
- ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
- ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR § 1.56 (c) more than three months prior to the filing of this Statement.
- OR
- ☐ Applicant submits the petition fee of \$180 as set forth in 37 CFR § 1.17(p).
- ☐ 37 CFR § 1.97(d). If so, then both:
- ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that either:
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- ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement.
- AND
- ☐ Applicant submits the petition fee of \$180 as set forth in 37 CFR § 1.17(p).

Consideration of the listed references and favorable action are respectfully solicited.

Respectfully submitted,

Jax B. Cowden, et al.

Dated: 1-18-02

By: Patrick D. Benedicto

Patrick D. Benedicto

Attorney For Applicant(s)

Reg. No. 40,909

DEGUZMAN OKAMOTO & BENEDICTO LLP

P.O. Box 51900

Palo Alto, CA. 94303

Tel.: (650) 691-2030

Fax: (650) 691-2032

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Typed or Printed Name:	Patrick D. Benedicto	Dated:	Jan. 18, 2002
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Sheet 4

1

of

4

**Complete if Known**

Application Number

09/993,906

Filing Date

November 27, 2001

First Named Inventor

**Jax B. Cowden**

**Group Art Unit**

2152

**Examiner Name**

not yet known

Attorney Docket Number

10005.000120

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

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<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

FEB 12 2002

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**Complete if Known**

Application Number	09/993,906
Filing Date	November 27, 2001
First Named Inventor	Jax B. Cowden
Group Art Unit	2152
Examiner Name	not yet known
Attorney Docket Number	10005.000120

Sheet

4

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Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	B	AnalogX Pow, Webpage [online]. C/net Downloads.com [retrieved on October 3, 2001]. Retrieved from the Internet <URL: http://download.cnet.com/downloads/0-3356742-100-915372.html>.	
	C	Pop-up stopper, Webpage [online]. C/net Downloads.com [retrieved on October 3, 2001]. Retrieved from the Internet <URL: http://download.cnet.com/downloads/0-10059-100-6803957.html>.	
	D	Advertising Killer, Webpage [online]. C/net Downloads.com [retrieved on October 3, 2001]. Retrieved from the Internet <URL: http://download.cnet.com/downloads/0-10059-100-1539520.html>.	
	E	PopUp Killer, Webpage [online]. C/net Downloads.com [retrieved on October 3, 2001]. Retrieved from the Internet <URL: http://download.cnet.com/downloads/0-10059-100-7253644.html>.	
	F	AdsOff, Webpage [online]. C/net Downloads.com [retrieved on October 3, 2001]. Retrieved from the Internet <URL: http://download.cnet.com/downloads/0-10059-100-912651.html>.	
	G	InterMute, Webpage [online]. C/net Downloads.com [retrieved on October 3, 2001]. Retrieved from the Internet <URL: http://download.cnet.com/downloads/0-10059-100-906599.html>.	
	H	CrushPop 2000, Webpage [online]. www.32bit.com [retrieved on October 4, 2001]. Retrieved from the Internet <URL: http://32bit.com/software/listings/Internet/Special/180P.13794.html>.	
	I	PopNot, Webpage [online]. C/net Downloads.com [retrieved on October 4, 2001]. Retrieved from the Internet <URL: http://download.cnet.com/downloads/0-10059-100-5112702.html>.	
	J	Mr. KillAd, Webpage [online]. C/net Downloads.com [retrieved on October 4, 2001]. Retrieved from the Internet <URL: http://download.cnet.com/downloads/0-10059-100-895339.html>.	
	K	AllGone, Webpage [online]. C/net Downloads.com [retrieved on October 4, 2001]. Retrieved from the Internet <URL: http://download.cnet.com/downloads/0-10068-100-2915974.html>.	
	L	Marcebra Net, Webpage [online]. C/net Downloads.com [retrieved on October 4, 2001]. Retrieved from the Internet <URL: http://download.cnet.com/downloads/0-3364666-100-5210875.html>.	

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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)  Sheet <span style="border: 1px solid black; padding: 2px;">3</span>		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th colspan="2" style="text-align: center;">Complete if Known</th> </tr> <tr> <td style="width: 50%;">Application Number</td> <td>09/993,906</td> </tr> <tr> <td>Filing Date</td> <td>November 27, 2001</td> </tr> <tr> <td>First Named Inventor</td> <td>Jax B. Cowden</td> </tr> <tr> <td>Group Art Unit</td> <td>2152</td> </tr> <tr> <td>Examiner Name</td> <td>not yet known</td> </tr> <tr> <td>Attorney Docket Number</td> <td>10005.000120</td> </tr> </table>		Complete if Known		Application Number	09/993,906	Filing Date	November 27, 2001	First Named Inventor	Jax B. Cowden	Group Art Unit	2152	Examiner Name	not yet known	Attorney Docket Number	10005.000120
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Group Art Unit	2152																
Examiner Name	not yet known																
Attorney Docket Number	10005.000120																

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	M	Ad Muncher, Webpage [online]. C/net Downloads.com [retrieved on October 4, 2001]. Retrieved from the Internet <URL http://download.cnet.com/downloads/0-3356748-100-2750044.html>.	
	N	Close Popup, Webpage [online]. C/net Downloads.com [retrieved on October 4, 2001]. Retrieved from the Internet <URL http://download.cnet.com/downloads/0-10059-100-905799.html>.	
	O	Popki Popup Closer 1.4, Webpage [online]. WebAttack.com [retrieved on October 4, 2001]. Retrieved from the Internet <URL http://www.webattack.com/get/popki.shtml>.	
	P	NoPops 1.1, Webpage [online]. WebAttack.com [retrieved on October 4, 2001]. Retrieved from the Internet <URL http://www.webattack.com/get/nopops.shtml>.	
	Q	AdSubtract SE, Webpage [online]. C/net Downloads.com [retrieved on October 4, 2001]. Retrieved from the Internet <URL http://download.cnet.com/downloads/0-3356727-100-5963713.html>.	
	R	NoAds 2000.6.30.1, Webpage [online]. south bay software [retrieved on October 4, 2001]. Retrieved from the Internet <URL http://www.southbaypc.com/NoAds.html>.	
	S	Surf In Peace 2.01, Webpage [online]. WebAttack.com [retrieved on October 4, 2001]. Retrieved from the Internet <URL http://www.webattack.com/get/sip.shtml>.	
	T	Black List, Webpage [online]. C/net Downloads.com [retrieved on October 4, 2001]. Retrieved from the Internet <URL http://download.cnet.com/downloads/0-10059-100-902347.html>.	
	U	Ad Close, Webpage [online]. C/net Downloads.com [retrieved on October 4, 2001]. Retrieved from the Internet <URL http://download.cnet.com/downloads/0-10059-100-915154.html>.	
	V	Popup Hunter, Webpage [online]. C/net Downloads.com [retrieved on October 4, 2001]. Retrieved from the Internet <URL http://download.cnet.com/downloads/0-10059-100-1451171.html>.	
	W	Nagger, Webpage [online]. C/net Downloads.com [retrieved on October 4, 2001]. Retrieved from the Internet <URL http://download.cnet.com/downloads/0-3356748-100-2497932.html>.	

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)  FEB 12 2002 U.S. PATENT & TRADEMARK OFFICE		Application Number	09/993,906
		Filing Date	November 27, 2001
		First Named Inventor	Jax B. Cowden
		Group Art Unit	2152
		Examiner Name	not yet known
		Attorney Docket Number	10005.000120
Sheet	4	of	

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	X	Web Magician, Webpage [online]. RocketDownload.com [retrieved on October 4, 2001]. Retrieved from the Internet <URL: http://www.rocketdownload.com/Details/Inte_webmag.html>.	
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	Z	Paraben's AdStopper, Webpage [online]. C/net Downloads.com [retrieved on October 4, 2001]. Retrieved from the Internet <URL: http://download.cnet.com/downloads/0-10059-100-2643648.html>.	
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	BB	AdDelete, Webpage [online]. C/net Downloads.com [retrieved on October 4, 2001]. Retrieved from the Internet <URL: http://download.cnet.com/downloads/0-10059-100-7003126.html>.	
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	EE	PopKill, Webpage [online]. C/net Downloads.com [retrieved on October 4, 2001]. Retrieved from the Internet <URL: http://download.cnet.com/downloads/0-10059-100-6967054.html>.	
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	HH	BanPopup, Webpage [online]. C/net Downloads.com [retrieved on October 4, 2001]. Retrieved from the Internet <URL: http://download.cnet.com/downloads/0-10059-100-6901908.html>.	
	II	Close Popup 4.0, Webpage [online]. Take A Hike Software [retrieved on October 4, 2001]. Retrieved from the Internet <URL: http://www.ryanware.com/close_popup.html>.	
	JJ	CobraSoft PopStop, Webpage [online]. C/net Downloads.com [retrieved on October 4, 2001]. Retrieved from the Internet <URL: http://download.cnet.com/downloads/0-10068-100-6926765.html>.	
	KK	Adextinguisher-Introduction and News Section, Webpage [online][retrieved on October 4, 2001]. Retrieved from the Internet URL: http://adext.magenet.net/html	

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